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JC784 U.S. PTO
02/15/02

UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 380048-94

First Inventor ZONGQIN XIA

Title Steroidal Sapogenins and Their Derivatives
for Treating Alzheimer's Disease

Express Mail Label No. EL 763792945 US

02/15/02
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APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing.)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27</i> 3. <input checked="" type="checkbox"/> Specification Total Pages 33 <ul style="list-style-type: none"> - Descriptive title of the invention - Background of the Invention - Brief Summary of the Invention - Claim(s) - Abstract of the Disclosure 		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable form b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 c copies); or ii. <input type="checkbox"/> Statements verifying identity of above copies 	
ACCOMPANYING APPLICATION PARTS <ul style="list-style-type: none"> 4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages 9 <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly Executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> Deletion of Inventor(s) <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).</i> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 			
9. <input type="checkbox"/> Assignment Papers (cover sheet & documents) 10. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Small Entity <input checked="" type="checkbox"/> Statement filed in prior appln. Statement(s) Status still proper and desired. 16. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(If foreign priority is claimed)</i> 17. <input type="checkbox"/> Other:			
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:			
<input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)		of prior application No. : 09/647,110 Group Art Unit: 1623	
Prior application information: Examiner: E. Peselev			
19. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> New correspondence address below	

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FEE CALCULATION

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	32 - 20	12 X	\$ 18.00	\$216.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	12 - 3	9 X	\$ 84.00	\$756.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00	
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
				Total of above Calculations =	\$ 1712.00
				Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)	\$ 856.00
				TOTAL =	\$ 856.00

20. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2230:

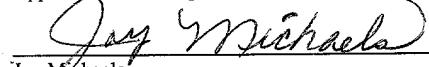
- Fees required under 37 CFR 1.16.
- Fees required under 37 CFR 1.17.
- Fees required under 37 CFR 1.18.

21. A check in the amount of \$856.00 is enclosed.

22. Other

Accordingly, issuance of the official Filing Receipt an early date is in order and is respectfully requested.

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I hereby certify that on February 15, 2002, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.10, addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.


Joy Michaels

Dated: February 15, 2002


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